

County Offices  
Newland  
Lincoln  
LN1 1YL

17 January 2014

**Audit Committee**

A meeting of the Audit Committee will be held on **Monday, 27 January 2014 at 10.00 am in Committee Room One, County Offices, Newland, Lincoln LN1 1YL** for the transaction of the business set out on the attached Agenda.

Yours sincerely



Tony McArdle  
Chief Executive

**Membership of the Audit Committee** (7 Members of the Council + 1 Voting Added Member)

Councillors Mrs S Rawlins (Chairman), Mrs E J Sneath (Vice-Chairman), N I Jackson, C E D Mair, S M Tweedale, W S Webb and P Wood

**Voting Added Member**

Mr P D Finch



**AUDIT COMMITTEE AGENDA  
MONDAY, 27 JANUARY 2014**

<b>Item</b>	<b>Title</b>	<b>Pages</b>
<b>1</b>	<b>Apologies for Absence</b>	
<b>2</b>	<b>Declaration of Members' Interests</b>	
<b>3</b>	<b>Minutes of the meeting held on 11 November 2013</b>	1 - 8
<b>4</b>	<b>Corporate Audit Progress Report to 31 December 2013</b> <i>(To receive a report from the Head of Corporate Audit and Risk Management which provides an update on progress made against the Audit Plan 2013/14)</i>	9 - 54
<b>5</b>	<b>External Audit Progress Report</b> <i>(To receive a report from the External Auditors, KPMG, which provides the Committee with an update including work performed during the interim audit stage – County Council and Pension Fund audits, and also work planned for the next quarter)</i>	55 - 58
<b>6</b>	<b>Supporting Sound Financial Management and Internal Controls in Schools and Academies</b> <i>(To receive a report from the Head of Corporate Audit and Risk Management which updates the Committee on ways in which Children's Services, the Mouchel Schools' Finance Team and Internal Audit support schools to improve their financial management and internal control arrangements. The report also provides information on the assurance framework set out for academies by the Education Funding Agency)</i>	59 - 96
<b>7</b>	<b>Counter Fraud Progress Report to 31 December 2013</b> <i>(To receive a report by the Head of Corporate Audit and Risk Management which provides and update on our fraud investigation activities and information on progress made against the County Council's Counter Fraud Work Plan 2013/14)</i>	97 - 108
<b>8</b>	<b>Updated Anti-Money Laundering Policy</b> <i>(To receive a report by the Head of Corporate Audit and Risk Management which provides the Committee with information in relation the key aspects of this policy revision, explains the legal obligations on the Council and clarifies the arrangements for raising awareness)</i>	109 - 118
<b>9</b>	<b>Update on Annual Governance Statement 2013</b> <i>(To receive a report by the Head of Corporate Audit and Risk Management which provides the Committee with information on the annual review of the Local Code of Governance and progress on agreed actions contained in the Council's Annual Governance Statement 2013)</i>	119 - 238

- 10 Combined Assurance Status Reports** 239 - 296  
*(To receive a report from the Head of Corporate Audit and Risk Management which provides the Committee with an insight on the assurances across all the Council's critical services, key risks and projects)*
- 11 Work Plan** 297 - 302  
*(To receive a report by the Head of Corporate Audit and Risk Management which provides the Committee with information on progress on agreed actions and its work plan up to 31 March 2014)*

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**Please note:** for more information about any of the following please contact the Democratic Services Officer responsible for servicing this meeting

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